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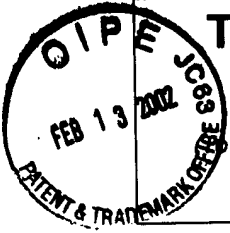
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TRANSMITTAL FORM

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| | |
|----------------------|---------------------|
| Application Number | 10/017,754 |
| Filing Date | October 29, 2001 |
| First Named Inventor | Robert A. Henderson |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket No. | 210121.478C18 |

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ENCLOSURES (check all that apply)

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| Individual Name | Jeffrey Hundley, Ph.D. 42,676 | 00500 PATENT TRADEMARK OFFICE |
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. Henderson et al.
Application No. : 10/017,754
Filed : October 29, 2001
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF LUNG CANCER

Docket No. : 210121.478C18

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

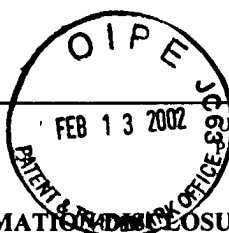
Respectfully submitted,
Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read 'J. Hundley', is written over a horizontal line.

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Registration No. 42,676

JEH:mls

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
210121.478C18APPLICATION NO.
10/017,754**INFORMATIONAL DISCLOSURE STATEMENT**
(Use several sheets if necessary)

APPLICANTS

Robert A. Henderson et al.

FILING DATE

October 29, 2001

GROUP ART UNIT

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------------|------|------|-------|----------|-------------------------------|
| BA | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | BB | | | | | |
| | BC | | | | | |
| | BD | | | | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|---|
| | BE | Wu and Noguchi, "Activation of globin gene expression by cDNAs from induced K562 cells," <i>Journal of Biological Chemistry</i> 266(26):17566-17572, September 15, 1991. |
| | BF | Yee et al., "Isolation of tyrosinase-specific CD8 ⁺ and CD4 ⁺ T cell clones from the peripheral blood of melanoma patients following in vitro stimulation with recombinant vaccinia virus," <i>The Journal of Immunology</i> 157:4079-4086, 1996. |
| | BG | |
| | BH | |
| | BI | |
| | BJ | |
| | BK | |

EXAMINER

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* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
210121.478C18APPLICATION NO.
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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|-------------------|-------|----------|-------------------------------|
| | AA | 5,589,579 | 12/31/96 | Torczynski et al. | 536 | 23.1 | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO |
|--|----|--------------------|----------|--------------------------|-----------------------|
| | AB | WO 98/31799 | 07/23/98 | WIPO | |
| | AC | WO 98/31799 | 07/23/98 | WIPO (corrected version) | |
| | AD | WO 97/33993 | 09/18/97 | WIPO | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|---|
| | AE | Chen et al., "Isolation and characterization of a novel gene expressed in multiple cancers," <i>Oncogene</i> 12(4):741-751, February 15, 1996. |
| | AF | EMBL Database Accession No. AA948244, May 5, 1998. |
| | AG | EMBL Database Accession No. AA620697, October 16, 1997. |
| | AH | GenBank Accession No. X21973, May 18, 1999. |
| | AI | Güre et al., "Human lung cancer antigens recognized by autologous antibodies: definition of a novel cDNA derived from the tumor suppressor gene locus on chromosome 3p21.3," <i>Cancer Research</i> 58(1):1034-1041, March 1, 1998. |
| | AJ | Hara et al., "Characterization of cell phenotype by a novel cDNA library subtraction system: expression of CD8 α in a mast cell-derived interleukin-4-dependent cell line," <i>Blood</i> 84(1):189-199, July 1, 1994. |
| | AK | Liu et al., "XAGE-1, a new gene that is frequently expressed in Ewing's sarcoma," <i>Cancer Research</i> 60(17):4752-4755, September 1, 2000. |
| | AL | Schena et al., "Quantitative monitoring of gene expression patterns with a complementary DNA microarray," <i>Science</i> 270:467-470, October 20, 1995. |

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